



CONTRACT FOR SERVICES

Between

**The Association for Strengthening Agricultural Research in Eastern
and Central Africa (ASARECA)**

and

Mr. Godber W. Tumushabe

For

Development of ASARECA's Regional Intellectual Property Policy
CONTRACT NO. ASAR/CONS/2022/00004

ASARECA SECRETARIAT

Plot 5, Mpigi Road, Entebbe
P.O. Box 765 Entebbe – Uganda

Mr. Godber W. Tumushabe

P.O. Box 398, Kampala, Uganda
Mobile: +256-755000737
Godber.tumushabe@gmail.com

LUMP SUM CONTRACT

THIS CONTRACT ("Contract") is entered into this 5th day of July 2022 between the Association for Strengthening Agricultural Research in Eastern and Central Africa (ASARECA) of Plot 5 Mpigi Road, P.O. Box 765, Entebbe - Uganda, ("the Client"), of one part, and Mr. Godber W. Tumushabe ("the Consultant") of Plot 5 Martyrs Road, Ministers Village, Ntinda, P.O. Box 398 Kampala, Uganda.

WHEREAS, the Client wishes to have the Consultant perform the services hereinafter referred to,
and

WHEREAS, the Consultant is willing to perform these services,

NOW THEREFORE THE PARTIES hereby agree as follows:

- 1. Services**
 - (i) The Consultant shall perform the services specified in Annex A, "Terms of Reference and Scope of Services," which is made an integral part of this Contract ("the Services").
 - (ii) The Consultant shall submit to the Client the reports in the form and within the time periods specified in Annex C, "Consultant's Reporting Obligations."
- 2. Term**

The Consultant shall perform the Services for a period of **forty (40) person-days** spread over a period of 70 calendar days from the date of contract effectiveness (**5th July 2022**), or any other period as may be subsequently agreed by the parties in writing.
- 3. Payment**
 - A. Ceiling

For Services rendered pursuant to Annex A, the Client shall pay the Consultant an amount not to exceed **USD 22,750** inclusive of 6% WHT. This amount has been established based on the understanding that it includes all of the Consultant's costs and profits.
 - B. Schedule of Payments

The schedule of payments is specified below:

20% payment upon submission and approval of the Inception Report and **80% payment** upon completion of the assignment and approval of an acceptable Diagnostic study report and Regional Intellectual Property Policy.

Administrative Arrangements. ASARECA shall take care of expenditure in respect of flight costs, local transport and per-diem for the consultant while on data collection in at least two ASARECA member countries.

C. Payment Conditions

Payment shall be made in United States Dollars, no later than 30 days following submission by the Consultant of invoices to the Coordinator designated in paragraph 4. The invoices shall be accompanied by supporting documents such as reports.

4. Project Administration

A. Coordinator

The Client designates Dr. Enock Warinda as Client's Coordinator; the Coordinator will be responsible for the coordination of activities under this Contract, for acceptance and approval of the reports and of other deliverables and for receiving and approving invoices for the payment.

B. Reports

The reports listed in Annex C, "Consultant's Reporting Obligations" shall be submitted in the course of the assignment, and will constitute the basis for the payments to be made under paragraph 3.

5. Performance Standards

The Consultant undertakes to perform the Services with the highest standards of professional and ethical competence and integrity.

6. Confidentiality

The Consultants shall not, during the term of this Contract and within two years after its expiration, disclose any proprietary or confidential information relating to the Services, this Contract or the Client's business or operations without the prior written consent of the Client.

7. Ownership of Material

Any study reports or other material, graphic, software or otherwise, prepared by the Consultant for the Client under the Contract shall belong to and remain the property of the Client. The Consultant may retain a copy of such documents and software.

8. Consultant Not to be Engaged in Certain Activities

The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

9. Insurance

The Consultant will be responsible for taking out any appropriate insurance coverage.

10. Assignment

The Consultant shall not assign this Contract or sub-contract any portion of it without the Client's prior written consent.

**11. Law
Governing
Contract and
Language**

The Contract shall be governed by the laws of the Government of Uganda, and the language of the Contract shall be English.

**12. Dispute
Resolution**

Any dispute arising out of the Contract, which cannot be amicably settled between the parties, shall be referred to adjudication/arbitration in accordance with the laws of the Client's country.

13. Termination

The Client may terminate this Contract with at least ten (10) working days prior written notice to the Consultant after the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause:

- (a) If the Consultant does not remedy a failure in the performance of its obligations under the Contract within seven (7) working days after being notified, or within any further period as the Client may have subsequently approved in writing;
- (b) If the Consultant becomes insolvent or bankrupt;
- (c) If the Consultant, in the judgment of the Client or the Bank, has engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices (as defined in the prevailing Bank's sanctions procedures) in competing for or in performing the Contract.
- (d) If the Client, in its sole discretion and for any reason whatsoever, decides to terminate this Contract

FOR THE CLIENT



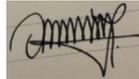
Dr. Enock Warinda
Executive Director/ASARECA

Witnessed by:



Julian Barungi
Program Officer-Policy/ASARECA

THE CONSULTANT



Mr. Godber W. Tumushabe

LIST OF ANNEXES

Annex A: Updated Terms of Reference and Work Plan

Annex B: Consultants Technical Approach and Methodology

Annex C: Consultant's Reporting Requirements

Annex D: Negotiation Minutes

Annex E: Financial Breakdown

Annex F: Consultant's CV

Annex A: Terms of Reference

Development of ASARECA's Regional Intellectual Property Policy ASAR/CONS/2022/00004

1. Introduction

The Association for Strengthening Agricultural Research in Eastern and Central Africa (ASARECA) is a not-for-profit sub-regional organization of the National Agriculture Research Institutions of fourteen countries namely: Burundi, Democratic Republic of Congo, Ethiopia, Eritrea, Kenya, Madagascar, Rwanda, Sudan, Tanzania, Uganda, South Sudan, Republic of Congo, Cameroon and Central African Republic. ASARECA is mandated to coordinate and convene human, physical, financial and institutional capital to implement Agricultural Research for Development initiatives in Eastern and Central Africa (ECA).

Recently, ASARECA developed a ten-year Strategy and Results Framework (2019-2028) together with its 1st five-year Medium Term Operational Plan (MTOPI-1: 2019-2023). In order to deliver its Agricultural Research for Development (AR4D) mandate, the Association has kick-started the operationalization of the MTOPI-1 with the implementation of key flagship projects. Among the key flagship projects under implementation during the FY2020 include the ASARECA-Comprehensive Africa Agriculture Development Programme CAADP ex-pillar IV (CAADP-XP4) project. The CAADP-XP4 project is funded by the European Union (EU) and managed by the International Fund for Agricultural Development (IFAD).

The CAADP-XP4 project directly contributes to Pillar 2 of the EU Development Smart Innovation through Research in Agriculture (DeSIRA) initiative aimed at spurring a Science-led and Climate-Relevant Agricultural Transformation in Africa. The DeSIRA Pillar 2 focuses on *Research Infrastructure Conducive to Innovation*. The focus is on support to organizations in charge of the governance of research at national and regional level. The project aims at enabling agricultural research and innovation, including extension services, to contribute effectively to food and nutrition security, economic development and climate mitigation in Africa.

The CAADP-XP4 project has 5 outputs namely: (i) strengthened capacity of ASARECA to perform its mandate and work together with AFAAS, FARA and SROs; (ii) multi-stakeholder partnerships for innovation established and in operation; (iii) strengthened national policies, regional institutional arrangements and market access; (iv) knowledge management for advocacy and decision support and communication improved; and (v) effective planning, coordination, partnerships, monitoring, evaluation, learning and reporting enhanced. The development of ASARECA's regional Intellectual Property (IP)¹ policy is being supported under output (iii) of the CAADP-XP4 project.

2. Rationale

Since its inception in 1994, ASARECA has coordinated AR4D in the ECA sub region including management of AR4D knowledge, harmonization of policies, resources and development of and access to several technologies, innovations and management practices. Over the years, ASARECA has supported projects which have generated over 400 Technologies, Innovations and Management Practices (TIMPs) which are broadly categorized under 6 categories namely: (i) Crop Management; (ii) Fisheries and

¹ Intellectual Property (IP) refers to tangible or intangible property arising from creative endeavors. Examples of IP include patents, copyrights, plant breeders' rights, trade secrets and know-how, trademarks and service marks, utility models, geographical indications and industrial designs.

Livestock Management; (iii) Value Addition and Marketing; (iv) Protocols, Manuals and Standards; (v) Institutional Innovations; and (vi) Natural Resources Management. There is increasing demand for agricultural technologies, innovations and data by farmers, private sector, public sector, researchers, and other strategic partners. However, their exchange remains a challenge among many ASARECA member countries where there are highly restrictive procedures for their import, export and transfer.

ASARECA stakeholders including scientists, private sector, policy makers from the Ministries of Agriculture and Directors General of the National Agricultural Research Institutions have continuously expressed security concerns over the transfer of agricultural technologies, innovations and data across national borders and institutions. In response, the ASARECA Board of Directors recently recommended that the Secretariat coordinates the formulation of a regional IP Policy to facilitate development and transfer of agricultural technologies, innovations and data across the ASARECA member countries. It is against this background that ASARECA intends to develop a regional IP policy.

The IP policy will provide a framework that guides effective management of IP among ASARECA member countries. Specifically, the IP policy will seek to: (i) provide incentives for stimulating generation and commercialization of agricultural technologies, innovations and data; (ii) promote identification and protection of IP; (iii) provide a favourable environment for transfer of agricultural technologies, innovations and data across countries; and (iv) provide a framework for sharing of benefits accrued from generation and commercialization of agricultural technologies, innovations and data.

3. Objective

The objective of this assignment is to develop a regional IP policy for ASARECA.

4. Tasks

The Consultant will be expected to:

- Review relevant literature including ASARECA Strategy; Medium Term Operational Plan 1; Operations Manual; selected project grant agreements; and IP policies/provisions of National Agricultural Research Systems (NARS) and Ministries of Agriculture of the 14 ASARECA member countries as well as those of Regional Economic Communities (RECs) including EAC and COMESA.
- Conduct a study on the status of IP and its management in the NARS in ASARECA member countries including a survey and interviews with key stakeholders (farmer organizations, Ministries of Agriculture, National Agricultural Research Institutions, private sector, RECs and academia) to collect their views, challenges, fears and aspirations regarding transfer of agricultural technologies, innovations and data across national borders and institutions.
- Prepare a draft regional IP policy for ASARECA that is coherent with IP policies and provisions of ASARECA, RECs, Ministries of Agriculture and NARS in ASARECA member countries. The draft IP policy should cover both crop and livestock sectors.
- Facilitate a physical consultative workshop to validate the draft IP policy including the implementation plan and incorporate feedback from stakeholders.
- Prepare the final regional IP policy for ASARECA including the implementation plan.

5. Deliverables

The Consultant will be expected to deliver the following:

- i. Inception report including a detailed methodology, work plan for undertaking the assignment, proposed list of stakeholder categories for the survey and interviews, survey tool and draft outline of the IP policy.
- ii. Diagnostic Report on status of IP and its management in ASARECA member countries
- iii. Draft regional IP policy including the implementation plan.
- iv. Final regional IP policy including the implementation plan.

6. Support to the Consultant

ASARECA will support the Consultant in the following areas:

- Provide the contact details of key stakeholders from member countries and partners.
- Facilitate relevant logistics to undertake the task with minimal interruptions.
- Provide relevant information/literature materials available at ASARECA Secretariat as might be required.
- Provision of an introductory letter.

7. Qualifications and work experience of the Consultant

- Master's Degree in any of the following fields – Law; Intellectual Property; and Public Policy.
- At least 10 years' experience working in the agriculture sector in ASARECA member countries.
- Past experience in developing IP policies and/or working on IP issues.
- Evidence of publications related to IP.

8. Duration

The assignment is expected to take a total of **40 working days** spread across 70 calendar days.

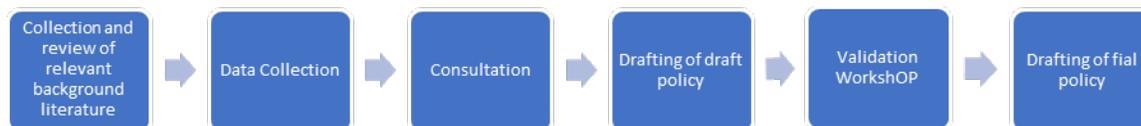
9. Reporting

The Executive Director of ASARECA shall oversee the assignment of the Consultant. He will work closely with the Program Officer - Policy.

Annex B: Consultants Technical Approach and Methodology

The proposed approach and methodology for the assignment is shown in the figure below and described thereafter.

Figure: Approach and methodology flow



Collection and review of relevant background documents

The starting point of executing this assignment is the collection and review of background literature including official policy and strategy documents of relevant institutions within the National Agricultural Research System, ASARECA member states, RECs, and international legal and policy instruments. These documents will be reviewed with the purpose of creating a comprehensive assessment of the status and current direction of IP policy developments the region. The literature will enable a succinct analysis of the development objectives, strategies, and policies of ASARECA and institutions within the NARS, the participating countries, and the RECs to establish the foundations for the development of a comprehensive and coherent regional IP policy that harnesses the power of intellectual property.

Data collection

The review of the literature will be complemented by a systematic and extensive process of data collection. The data will be collected using to strategies. First, a comprehensive data collection tool covering the three core elements of IP policy: IP protection, process optimization, monetization of IP and the development role of IP will be developed and used in the collection of data across the relevant institutions. The second strategy is to complement the structured data collection tool be semi structured data collection methods which will involve meetings with scientists and managers involved in IP management. The purpose of data collection is to obtain empirical data and information that can paint a reasonable picture of the current IP situation within the NARS institutions and the ASARECA member states. The data will enable a realistic analysis of the strengths, weaknesses, and potential for IP application in enabling agricultural research in the region as well as the nature of policies to be considered in the formation of ASARECA regional IP policy. The full ranges of documents to be reviewed will be outlined in the inception report.

Stakeholder consultations

The nature of this assignment requires that wide consultations be conducted with stakeholders at institutional, national, and regional level. The starting point of these consultations will be with the relevant officials of ASARECA. At the inception phase of the assignment, a comprehensive list of different stakeholders and stakeholder groups will be presented for consideration and approval by the ASARECA team. The goal of this methodological approach is to enable a broad range of stakeholders within NARS and other relevant actors actively participate in the articulation of the policy direction of the regional IP policy. The stakeholder consultation process will also be conducted with the purpose of enhancing ownership of the process while promoting learning that is essential for the future implementation of the Policy.

Preparation of the draft of the policy

The main outcome of the proposed assignment is a final regional IP policy for ASARECA. To get to this final product, a first draft of the policy will be prepared from based on the review of relevant documents and the consultations with stakeholders. It is planned that a more participatory approach will be adopted to in the development of the draft policy. This will be achieved through the establishment of an Informal Contact Group comprised of not more than 10 people representing both the crop and livestock segment of the agricultural research system. While the primary responsibility of preparing the draft policy rests with the consultant, the Informal Contact Group will be engaged in the process through the exchange of draft texts, online discussions, and virtual informal working sessions. The goal of using this strategy to ensure that there is optimal knowledge transfer and capacity building which will be essential in the implementation of the policy. The membership of the Informal Contact Group will be agreed upon with the ASARECA team at the time of inception of the assignment.

The preparation of the draft policy will involve two levels. The first level is the preparation of the basic outline of the policy. The outline will be developed and submitted together with the inception report. The second level of the preparation of the draft strategy. This will be based on the review of the documents, the data collected and analyzed, and the ideas and recommendations from consultations with stakeholders.

Validation workshop

A validation workshop will be convened in consultation with ASARECA. The workshop will bring together the wide range of actors in the NARS and affiliated institutions and actors. Most of the participants in the validation workshop will be drawn from stakeholders who will participate in the consultation process. The goal is to ensure that workshop creates a bridge between the agriculture R&D community, IP managers, academia, civil society, and the private sector. The purpose of the validation workshop will be to validate the proposed policy and to ensure that the recommendations of stakeholders and practitioners within the agriculture R&D community are given adequate attention before the final version of the policy prepared for submission to

ASARECA. While the consultant will advise on the content and structure for the validation workshop, it is envisaged the scale and scope of participation will be determined in consultation with the task team at ASARECA.

Preparation of the final version of the regional IP policy

The final stage of this assignment is the preparation of the final version of the regional IP policy. Following the validation workshop, a final version of the policy will be prepared and submitted to ASARECA. The consultant will then have an exit meeting with the ASARECA team.

Annex C: Consultant's Reporting Obligation

	Item	Timing
1	Inception Report	3 Days after contract signing
2	Diagnostic Study Report	4 weeks after contract signing
3	Draft Regional Intellectual Property Policy	6 weeks after contract signing
4	Final Regional Intellectual Property Policy	8 weeks after contract signing



RECORD OF NEGOTIATION BETWEEN MR. GODBER TUMUSHABE AND ASARECA

Subject of Procurement	Consultancy for Development of ASARECA's Regional Intellectual Property Policy ASAR/CONS/2022/00004	
Negotiation Team	<ol style="list-style-type: none"> 1. Dr. Enock Warinda – Executive Director 2. Julian Barungi – Program Officer Policy 3. Joshua Okonya – Program Officer Technology & Innovation 4. Stephen Ariko – Procurement Consultant 5. Elijah Lutwama – Head of Finance 	
Subject	Issue(s)	Agreement
Experience	To clarify on experience related to the assignment	<p>The consultant confirmed that he has the following experience related to the assignment:</p> <ul style="list-style-type: none"> ● Holds a Juridical Science Master from Stanford University; a Master of Laws from Makerere University; & a Bachelor of Laws from Makerere University. ● Has experience in conducting research on intellectual property for example: (i) a recent study on harnessing intellectual property rights for development objectives which provides the intellectual foundation for linking IP policy to practice in agricultural research; (ii) work on governance of science, technology, and innovation in the EAC which provides a firm grounding in the understanding of IP deployed as an instrument to promote beneficial agricultural R&D. ● Has extensive policy development experience in intellectual property: (i) assisted the East African Regional Programme and Research Network for Biotechnology, Biosafety and Biotechnology Policy Development to develop the first ever Material Transfer Agreement supported under collaboration with the Stockholm Environment Institute; (ii) provided leadership for ACTS' support to the National Environment Management Authority of Uganda in developing the Access to Genetic Resources Regulations for Uganda; (iii) coordinated inputs of a wide range of agencies engaged in biodiversity management and exchange of genetic material; (iv) translated international legal principles and

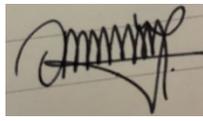
		domesticated them to national legislation; (v) coordinated ACODE policy work on biotechnology and biosafety policy development across Eastern and Southern Africa collaborating with a wide range of science and technology institutions including National Agricultural Research Organizations; (vi) chaired the Technical Committee on Biological Diversity of the National Environment Management Authority in Uganda; (vii) served as a Board Member of the African Agricultural Technology Foundation; and (viii) chaired the International Steering Committee of the Genetic Resources Policy Initiative.
Technical Approach and Methodology	To elaborate on the overall methodology that the consultant intends to apply for implementation of the assignment	<ul style="list-style-type: none"> ● The meeting agreed on an elaborate methodology proposed by the consultant which included: (i) an inception meeting where the consultant will present an inception report to the Secretariat staff; (ii) constitution of a policy development contact group comprised of key stakeholders from the Secretariat and member countries; (iii) collection and review of relevant literature; (iv) data collection; (v) stakeholder consultations; (vi) preparation of a diagnostic study report; (vii) preparation of the draft policy; (viii) validation workshop; (ix) preparation of the final policy based on comments from the validation workshop; and (x) exit meeting with ASARECA team. ● The meeting further agreed on the need to conduct extensive field studies and interviews in 2-3 ASARECA member countries subject to availability of funds. The case study countries will be confirmed during the inception meeting.
Work Plan/Timeframe	<ul style="list-style-type: none"> ● To discuss how the consultant plans to finalize the assignment within the proposed time frame. 	<ul style="list-style-type: none"> ● The meeting agreed that the consultant undertakes the assignment over a period of 40 person days spread over 70 calendar days.
Rate/Price	<ul style="list-style-type: none"> ● To confirm the rate per working day (person days). 	<ul style="list-style-type: none"> ● The meeting agreed on a total contract price of USD 22,750 inclusive of tax.
Confirmation of Taxes	<ul style="list-style-type: none"> ● To confirm all identifiable direct and indirect taxes on the remuneration of services rendered 	<ul style="list-style-type: none"> ● ASARECA confirmed that the Consultant being a Ugandan tax resident will pay withholding tax of 6% on the fees payable.
Payment schedule and commencement	<ul style="list-style-type: none"> ● To discuss the payment schedule (%) 	<ul style="list-style-type: none"> ● The meeting agreed that payment will be made in two instalments as follows: 1st instalment of 20% of the total contract price upon submission and acceptance of the inception report; and the 2nd instalment upon

		<p>completion of the assignment and submission of an acceptable diagnostic study report and the ASARECA Regional IP policy with its implementation plan.</p> <ul style="list-style-type: none"> ⊙ The meeting agreed that ASARECA will share minutes of the negotiation meeting with the consultant on 1st July, 2022 and the consultant will sign the minutes on the same day. ⊙ The meeting agreed that ASARECA will share the contract with the consultant on 4th July, 2022. ⊙ The meeting agreed that the contract will take effect on 4th July, 2022 upon signing by both parties.
--	--	--

Name: Julian Barungi

Name: Mr. Godber Tumushabe

Signature: 

Signature: 

Date: July 02, 2022

Date: July 02, 2022

For and on behalf of ASARECA

For and on behalf of the Consultant

Annex E: Financial Breakdown

	Item	Unit of Measure	Number of days	Rate per day in USD	Amount
A	Professional Fees				
1	Consultant's Total Fees Inclusive of 6% WHT	Man days	40	568.75	22,750

Annex F: Consultant's CV

GODBER W. TUMUSHABE, LL.M (MUK), JSM (STANFORD), DIP IN LEGAL PRACTICE (LDC, KAMPALA)

PERSONAL INFORMATION

NAME: GODBER W. TUMUSHABE

NATIONALITY: UGANDAN

DATE OF BIRTH: OCTOBER 28, 1967

MARITAL STATUS: MARRIED

PASSPORT #: B1311261 (VALID UNTIL FEBRUARY 02, 2026)

EDUCATION

STANFORD LAW SCHOOL, STANFORD UNIVERSITY Palo Alto, CA

2007-2008: Juridical Science Master (JSM)

FACULTY OF LAW, MAKERERE UNIVERSITY Kampala, Uganda

1994-1996: Master of Laws (LL.M)

1990-1993: Bachelor of Law (LL.B) with Honors

LAW DEVELOPMENT CENTRE -LDC Kampala, Uganda

1993-1994: Post-graduate Diploma in Legal Practice

WORK EXPERIENCE

January 2018 – To Date:

Chairman and Chief Executive Officer, Tarl Eco Farms – responsible for the overall growth strategy of the business including mobilization of financial resources, development of partnerships, and business innovations (www.tarlecofarms.com)

January 2014 – To Date:

Associate Director, Great Lakes Institute for Strategic Studies (GLISS) – responsible for the programme design and mobilization of funding and other resources to establish GLISS as Africa’s leading policy think tank focusing on the Great Lakes Sub-region.

Convener, Independent Expert Peer Group for the **Uganda Governance Pulse** – convening and chairing a group of Ugandan independent experts that develop methodology and produce a periodic report called the Uganda Governance Pulse.

October 2015-March 2016 **The Democratic Alliance (TDA)**

Volunteer Strategy and Policy Advisor, – advising a coalition of democracy seeking Ugandan political parties and citizens’ organizations on policy development, campaign strategy and institutional development.

2014-2015 ADVOCATES COALITION FOR DEVELOPMENT AND ENVIRONMENT (ACODE)

Programme Strategy & Fundraising Advisor– responsible for supporting programme development strategy and fundraising for ACODE.

2000-2013 ADVOCATES COALITION FOR DEVELOPMENT AND ENVIRONMENT (ACODE)

Executive Director & Policy Analyst

www.acode-u.org

- Directing public policy research and advocacy projects covering governance, environmental law and policy, science and technology policy, peace and conflict and trade policy.
- Responsible for developing and overseeing the implementation of ACODE program strategy, institutional development strategy, fundraising and general administration.

2002-Present FACULTY OF LAW, MAKERERE UNIVERSITY Assistant Lecturer

Teaching International Law, International and Regional Human Rights, Environmental Law & Policy, and Jurisprudence, and supervising undergraduate student dissertations on environment, biotechnology, and intellectual property (IP).

Feb. 2008-Dec. 2008 THE WORLD BANK GROUP- World Bank Group Staff Member

Empirical study and analysis of the content and practices of the Consultative Group on International Agricultural Research (CGIARs) partnership with Non-Governmental Organizations (NGOs) covering the period 2001-2007.

1997-1999

AFRICAN CENTRE FOR TECHNOLOGY STUDIES (ACTS)

Senior Research Fellow

www.acts.or.ke

Undertaking policy research and managing Africa-wide policy research projects on biodiversity, biotechnology policy, intellectual property policies, Material Transfer Agreement (MTAs), and environmental governance.

FELLOWSHIPS AND AWARDS

2008 JP and Danyele Garnier Fellow, Summer Institute for Entrepreneurship, Stanford Graduate School of Business, Stanford University, California

2007 SPILS **Fellow**, Stanford Programme in International Legal Studies, Stanford law School.

Research Thesis: Intellectual Property Rights Protection and Agricultural Technology Transfer in East Africa: A Case Study of Uganda.

2000 **Fellow**, Ford Foundation Fellowship in Environmental Politics, Institute of International Studies, University of California, Berkeley, California (Jan-Feb 2000).

1998 PARTS Africa Fellow, World Resources Institute (WRI), Washington, D.C

MEMBERSHIP OF BOARDS AND POLICY COMMITTEES

2013-Present Chairperson, Task Force on Electoral Reforms in Uganda, Citizens Coalition on Electoral Democracy (CCEDU, Uganda).

2009-2013 **Convener**, The State of the Nation platform, ACODE.

2009-2013 Convener, Expert Working Group for the Biennial Report on the Governance of Science, Technology and Innovation in the East African Community, ACODE.

2007-2013 **Convener**, Uganda Local Government Councils Score Card Initiative, ACODE.

2007- 2013 **Convener**, Uganda Forest Governance Learning Group (UFGLG).

2005-*Present* **Member**, East Africa Advisory Board, Green Grants Fund, Boulder, CO, USA

2004-2008 **Chair**, International Steering Committee, Genetic Resources Policy Initiative (GRPI)

2002-2004 **Member**, African Agricultural Technology Foundation (AATF) Board of Directors

2002-2004 *Chair*, Technical Committee on Biological Diversity, National Environment
Management Authority – Government of Uganda.

PUBLIC INTEREST WORK AND SOCIAL ENTERPRISE

2004- <i>Present</i>	Nyamirama 2015 Community Projects	<u>Patron & Sponsor</u>
2007- <i>Present</i>	Technology Frontiers Education Centre	Founder and Promoter

SELECTED POLICY ADVISORY SERVICES AND REPORTS

- **GIZ/Climatekos:** Developing a climate finance strategy for Uganda.
- **International Institute for Environment and Development (IIED):** Study team leader, Understanding Changing Land Issues for Rural Poor in Sub-Saharan Africa: A Case Study of Uganda.
- **International Institute for Environment and Development (IIED):** Study team leader, Status and Trends in Chinese Investments that Impact Forestland Use in Uganda: A Situation Analysis and Diagnostic Study.
- **Kilimo Trust:** Lead analyst, The Political Economy of Agricultural Policy in Africa (2013).
- **Overseas Development Institute (odi):** Team Leader, Climate Finance Country Study for Uganda.
- Food and Agriculture Organization of the United Nations (FAO): Aquaculture Sub-Sector in Uganda: Background, Status and Development Trajectory (November 2012 – March 2013 – Completed
- **Government of Uganda/UNDP:** Team Leader, Evaluation of the implementation of the National Environment Policy for Uganda, 1992.
- **Democratic Governance Facility (DGF):** Harmonization of comments on the Petroleum (Refining, Gas Processing and Conversion, Transportation and Storage) Bill, 2012 and facilitation of a retreat for Ugandan Members of Parliament to agree on harmonized amendments to the Bill.
- **African Parliamentarians Network on Anti-Corruption (APNAC):** Harmonization of comments on the Public Finance Bill, 2012 and facilitation of a retreat for Ugandan Members of Parliament to agree on harmonized amendments to the Bill.

- **Democratic Governance Facility (DGF):** Harmonization of comments on the Petroleum (Exploration, Development and Production) Bill, 2012 and facilitation of a retreat for Ugandan Members of Parliament to agree on harmonized amendments to the Bill.
- **IIED/Uganda Poverty & Conservation Learning Group:** Analysis of mainstreaming of biodiversity in Uganda national policies and programmes in the context of Ape conservation.
- **The World Bank, Uganda:** Analysis of the political economy of environment and natural resources management in Uganda (March-June, 2011-Completed)
- **NEMA/UNEP/GEF:** Uganda Protected Areas Governance Assessment in the Context of the CBD Programme of Work on Protected Areas.
- **National Environment Management Authority (NEMA):** Study of protected areas governance in Uganda.
- **Department for International Development (DFID):** Governance, institutions and civil society consultant on the Design Team for the multi-donor accountability programme for Uganda. DFID and Danida.
- **Association for Strengthening Agriculture Research in Eastern and Central Africa (ASARECA):** Preparing a policy background paper on the legal and policy issues with respect to the implementation of the International Treaty on Plant Genetic Resources for Food and Agriculture in Eastern and Central Africa.
- **NEPAD Science and Technology Forum:** Preparation of a policy background paper entitled **Health Innovation, Health Equity and Intellectual Property Rights in Africa** to be published in a forthcoming NEPAD publication entitled Science, Technology and Innovation for Public Health in Africa.
- **UNEP/UNCTAD/Government of Uganda:** Team Leader for the study on the integrated assessment of Uganda's organic agriculture sub-sector. This comprehensive study entailed a review of Government of Uganda policies and institutions governing the agriculture sector and an analysis of opportunities and policy options to increase organic agricultural production and trade (completed).
- **Government of Uganda/National Planning Authority:** Team Leader for a group of experts who prepared the background paper entitled **Transforming Commonwealth Societies to Achieve Political, Economic and Human Development** for the 2007 Common Wealth Heads of State Summit.
- **The World Bank, Environment Department:** Preparation of a policy background paper on the relationship between environment, poverty and health with specific reference to Acute Respiratory Infections (ARIs). A Policy background paper entitled "Acute Respiratory Infections, Access to Energy and Biomass Degradation in Uganda: Identifying Gaps and Prioritizing Policy Actions" was prepared and is currently being used by the Bank to address the identified policy linkages in its country programming for Uganda.
- **Environment and Natural Resources Sector Working Group/DFID: Preparation of the Sector PEAP Revision Paper for the Environmental and Natural Resources Sector.**

This assignment entailed a detailed overview of the ENR Sector following the PEAP revision Guidelines. A comprehensive review of Government of Uganda policies and institutional architecture in the environment and natural resources sector was undertaken and the final paper used to articulate sector interests in the PEAP.

- **National Environment Management Authority (NEMA)/African Centre for Technology Studies (ACTS):** Development of regulations on access to genetic resources and benefit sharing for Uganda.

SELECTED AND RECENT PUBLICATIONS

Great Lakes Institute for Strategic Studies/Independent Expert Peer Group (2020): Uganda Governance Pulse: A Public Perception Survey, July 2020. Kampala.

Tumushabe, Godber W, Kameri-Mbote Patricia and Idah Sithole-Niang (2016): Unlocking Africa's Future: Biotechnology and the Law. ACODE, Kampala.

Tumushabe, et al (2016). Public Spending on Climate Change in Africa: Experiences from Ethiopia, Ghana, Tanzania and Uganda. Overseas Development Institute, London, United Kingdom.

Tumushabe, Godber and Shelagh Whitley (2014): Mapping current incentives and investment in Uganda's energy sector: Lessons for private climate finance. ODI/ACODE Working Paper.

Tumushabe, Godber, et al (2013). Uganda National Climate Finance Analysis. ODI/ACODE, 2013.

Tumushabe, Godber, et al (2013). Measuring the Effectiveness of Public Finance Delivery at the National Level. ODI, March 2013.

Tumushabe, Godber W, John Ouma-Mugabe (2012). Governance of Science, Technology and Innovation in the East African Community: Inaugural Biennial Report 2012. ACODE Policy Research Series No. 51, 2012. Kampala

Tumushabe, Godber W, et al (2012). Uganda Local Government Councils Score Card: Synthesis Report 2011/2012. ACODE Policy Research Series No. 52, 2012. Kampala.

Tumushabe, Godber W, et al (2011): Harnessing Intellectual Property Rights for Development Objectives: The Double Role of IPRs in the Context of Facilitating MDGs Nos. 1 and 6. Wolf Legal Publishers. The Netherlands.

Tumushabe, Godber and John Mugabe (2009): Health Equity, Health Innovation and Intellectual Property Rights in Africa, NEPAD, 2009.

Tumushabe, Godber W (2009): The Anatomy of Public Administration Expenditure in Uganda: The Cost of the Executive and Implications for Poverty Eradication and Governance. ACODE Policy Research Paper Series, No. 27, 2009. Kampala

Tumushabe, Godber W. & Zie Gariyo (2009): Ugandan Taxpayer's Burden: The Financial and Governance Costs of a Bloated Legislature. ACODE Policy Research Series No. 28, 2009. Kampala.

Tumushabe, Godber W, Bainomugisha, A. and Mugenyi, O. (2009). Land Tenure, Biodiversity and Post-Conflict Transformation in Acholi Sub-region. ACODE Policy Research Series, No. 31, 2009. Kampala.

Tumushabe, Godber W and Mugabe, John. A *Health Equity, Health Innovation and Intellectual Property Rights in Africa* in Kalua, F.A., Awotedu, A., Kamwanja, L.A. and J.D.K. Saka (eds). 2009. Science, Technology and Innovation for Public Health in Africa. Monograph, NEPAD Office of Science and Technology, Pretoria, Republic of South Africa.

Tumushabe, Godber w, et al (2008): On Whose Behalf: Legislative Representation and the Environment in Africa. World Resources Institute. Washington, D.C.

Tumushabe, Godber W (2007): Integrated Assessment of Uganda's Organic Agriculture Sub-sector: Economic Opportunities and Policy Options to Mitigate Negative Socio-economic and Environmental Impacts. UNEP/UNCTAD, Geneva.

Tumushabe, Godber W and Naluwairo, R. (20045): Harnessing the Power of Intellectual Property Rights in Building Science and Technological Capacity. ACODE Policy Briefing Paper No. 9, 2005. ACODE, Kampala.

Tumushabe, Godber W and Naluwairo, R. (2004): Approaches to Biosafety Legislation in Africa: Options to Facilitate National Decision-Making. ACODE Policy Briefing Paper No. 6, 2004. ACODE, Kampala.

Tumushabe, Godber W and Ireland, C (2004): The Evolving Roles of Environmental Management Institutions in East Africa: From Conservation to Poverty Reduction. theIDLgroup/ACODE. London and Kampala.

Tumushabe, Godber W and Mugabe, John: A *Ecological Roots of Conflicts in Eastern and Central Africa: Towards a Regional Ombudsman* in Lyiba Zarsky (Ed), 2002. Human Rights and the Environment: Conflicts and Norms in a Globalizing World. Earthscan Publications Ltd. London. Sterling, VA.

Tumushabe, Godber W.: *Country Experiences in the Implementation of the Rio Forest Principles: Case Study of the East African Community States* in 32 Golden Gate U.L. Rev. 665 (2002)

Tumushabe, Godber W, and Okoth-Ogendo, H.W.O (Eds), 1999: *Governing the Environment: Political Change and Natural Resources Management in Eastern and Southern Africa*, ACTS Press, Nairobi.

HOME ADDRESS

5 Martyrs Raod, Ministers Village , Ntinda
P.O Box 398
Kampala-Uganda
Tel: +256-414-530798 (Office)
+256-755000737 (Cell)
E-mail: godber.tumushabe@gmail.com
